Company and director sentenced after worker fractures arm and leq

A company and its director have been sentenced after an employee fell from height and suffered serious injuries.

Andrew Smith fractured his left femur, left elbow, left arm and pelvis after falling approximately three metres off a ladder on 28 July 2021.

He had been working for Profascias Ltd at Park Lane Primary School in Tilehurst, Reading.

The company had been hired to replace guttering and supply fascia boards and soffits at the infant school.

The ladder Mr Smith had been working from against the school wall slipped, causing the 53-year-old to fall to the ground.

He spent 16 days in hospital as a result of his injuries and later underwent surgery to add a bolt to his hip and metal plate to his arm.

A Health and Safety Executive (HSE) investigation found there had been insufficient planning of the work at height by Profascias Ltd and its director, John Nolan. A safe platform from which to work, such as a properly erected scaffold, should have been provided as workers needed both hands to carry out the work and could not therefore work safely from a ladder. Ladders should only be used for access or, where it is not reasonably practicable to provide safer working platforms, for short-term work of up to 30 minutes where workers can normally maintain three points of contact.

HSE guidance can be found at: Work at height — HSE

Profascias Ltd, of Sandy Lane, Pamber Heath, Tadley, Hampshire, pleaded guilty to breaching Section 4(1) of the Work at Height Regulations 2005. The company was fined £6,000 and ordered to pay £2,000 in costs at Slough Magistrates' Court on 18 December 2023.

Imposing the sentence, District Judge Goozee remarked: "Because of the financial penalty, the company may end up being wound up completely; but that is a consequence of the conviction."

John Nolan, of Sandy Lane, Pamber Heath, Tadley, Hampshire, pleaded guilty to breaching Section 4(1) of the Work at Height Regulations 2005 by virtue of Section 37(1) of the Health and Safety at Work etc. Act 1974. He was handed a 12-month community order where he must undertake 180 hours of unpaid work and ordered to pay £1,000 in costs at Slough Magistrates' Court on 18 December 2023.

HSE inspector Rachael Newman said: "This worker's injuries were serious. This incident could have been avoided through the selection of suitable work

equipment to prevent persons from falling.

"Falls from height remain one of the most common causes of work-related fatalities and injuries in this country and the risks associated with working at height are well known."

This HSE prosecution was brought by HSE enforcement lawyer Jon Mack and supported by HSE paralegal officer Cristina Alcov.

Notes to editors:

- 1. The Health and Safety Executive (HSE) is Britain's national regulator for workplace health and safety. We prevent work-related death, injury and ill health through regulatory actions that range from influencing behaviours across whole industry sectors through to targeted interventions on individual businesses. These activities are supported by globally recognised scientific expertise.
- 2. More information about the <u>legislation</u> referred to in this case is available.
- 3. Further details on the latest HSE news releases is available.

North Wales health board fined after failings resulted in woman's death

One of the largest health boards in Wales has been fined £200,000 after a patient died in its care.

Llandudno Magistrates' Court heard that 46-year-old Dawn Owen was found unconscious at the Hergest Unit — a secure mental health unit — at Ysbyty Gwynedd in Bangor on 20 April 2021.

Dawn's family have called on Betsi Cadwaladr University Health Board to act on the findings of a Health and Safety Executive (HSE) investigation, calling her death 'wholly avoidable' and 'completely unnecessary'.

"Staff complacency at all levels contributed in this case, as well as numerous policy breaches and issues between staff and management," they said.

"We have been tragically let down by the Hergest Unit, who we believed, were providing a safe place for Dawn and the care that she urgently needed."



Dawn Owen

The HSE investigation found no risk assessment had been carried out when she was admitted and due to communication failure on transfer to the ward, staff had wrongly relied on an assessment carried out for a previous admission a year earlier. This failure resulted in Dawn's high risk of self-harm being tragically missed.

Staff also failed to place her in an anti-ligature bed and had de-escalated

the completion of regular monitoring checks. Dawn was also provided with a dressing gown and belt, of which the belt was later used as a ligature.

There were several missed opportunities during the course of the admission, where Dawn had expressed the desire to self-harm. This did not trigger any review of the care and management of the patient.

"Dawn was a highly vulnerable person and had been battling her demons and addictions for many years," the family went on to say.

"She was a bright, happy person who always had a positive attitude. She had a heart of gold and would always help others in need — she would give away her last penny to do so.

"Betsi Cadwaladr must now act on the findings of this investigation and keep vulnerable patients safe at the unit.

"We hope as a family that Dawn may now rest in peace — her battles with mental health are now over.

"We as a family would like to thank the Coroner and HSE for their diligence in ensuring there was a thorough investigation into Dawn's untimely death."

Betsi Cadwaladr University Health Board have pleaded guilty to breaching Section 3 (1) of the Health and Safety at work Act 1974 and have been fined £200,000 and ordered to pay costs of £13,174 at Llandudno Magistrates' Court on 18 December 2023.

Speaking after the case, HSE inspector Sarah Baldwin-Jones said: "This incident could so easily have been avoided had a thorough risk assessment been carried out on admission, identifying in this case, the change in Dawn's condition and risk of self-harm.

"Where a patient presents with a risk of self-harm, there is a requirement upon a health board to manage the patient's safety, to avoid incidents like this. Devices such as reduced ligature beds and removing ligature anchor points and ligatures in the ward environment, can assist staff manage these risks. Importantly, the health board should have trained staff in managing this risk in patients considering self-harm.

"This would enable staff to identify the trigger points and take appropriate actions. The health board also failed to monitor the management of patients, so that any patient emotional or behavioural changes can be identified and managed.

"Health Boards and Trusts should be aware that HSE will not hesitate to take appropriate enforcement action against those that fall below the required standards. Our thoughts remain with Dawn's family and friends."

This prosecution was led by HSE enforcement lawyer Samantha Wells.

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<u>Farming business fined after a walker</u> <u>dies in cat</u>tle incident

A farming business has been fined after a member of the public died after being butted several times by a cow in front of two onlooking grandchildren.

Marian Clode, 61, was on a family walk on 3 April 2016 when the attack happened on a public bridleway in Northumberland. She died in hospital three days later.



Marian Clode

The family had been staying at a cottage at Swinhoe Farm, Belford and said Marian "was dearly loved and still so sadly missed."

An investigation by the Health and Safety Executive (HSE) found that despite it being near the end of the Easter holidays, the business decided to move around 16 cows, together with a similar number of calves, along a popular bridlepath — a route taken by visitors to St Cuthbert's Cave. Effective precautions were not in place to warn walkers of the impending herd, such as signage and lookouts.

At the same time as the cows made their way to their field (which was approximately 1km along the bridlepath), Marian and her family, who had been

staying at a cottage on the business' farm, were walking in the opposite direction from St Cuthbert's Cave. The farm workers involved in moving the cows were not aware of the oncoming family as they were at the rear of the herd.

The route along the bridlepath was undulating meaning neither the farm workers nor the walkers were aware of each other until it was too late. The first the family knew of the oncoming cattle was as the herd appeared over the brough of a hill ahead, only seconds before they would come face to face.

Most of the family, including two young grandchildren, clambered over the barbed wire fence for cover but their grandmother, Marian Clode who was at the head of the group, was confronted by a cow at the front of the herd. The cow butted her several times causing fatal injuries.



The bridleway in Northumberland

The company had failed to put in place a system of work that was safe. There was a lack of an appreciation of the risk posed to any pedestrians or cyclists that might encounter cattle on the bridleway.

HSE has advice and guidance for $\underline{\text{farmers}}$, $\underline{\text{landowners}}$, and $\underline{\text{other livestock}}$ $\underline{\text{keepers}}$.

At Newcastle Crown Court, J M Nixon & Son, Swinhoe Farm, Belford, Northumberland pleaded guilty to breaching Section 3(1) of the Health and Safety at Work etc. Act 1974. They were fined £72,500 and ordered to pay £34,700 costs on 15 December.

A family statement said: "In the seconds we had to react, Marian, who was a little ahead, had the least time, but still managed to move to the side of the track and make herself as inconspicuous as possible, tucked against a wooden gate, beneath an overhanging tree.

"Despite this, Marian was attacked by the lead cow and suffered fatal injuries.

"In the immediate aftermath of the incident and in the months and now years which have passed, we believed Marian lost her life because of JM Nixon and Son's failure to implement even the most basic safe systems of work.

"Marian's death was completely avoidable, which makes coming to terms with

our loss even more difficult.

"We are grateful to the HSE for successfully prosecuting this case, which after almost eight years brings us some closure, although Marian is never far from our thoughts. She was dearly loved and still so sadly missed."

After the hearing, HSE inspector Jonathan Wills said: "This horrific tragedy during a family holiday could have been prevented. Had the company carefully planned the movement of cattle from their winter housing along a popular route used by walkers and cyclists and put sensible, inexpensive measures in place this incident would not have happened.

"Public knowledge — and concern — is increasing about how dangerous cattle can be. Farmers should not place cattle with calves in fields where members of the public have a legal right to walk. HSE will take action when legal duties are not followed."

This HSE prosecution was led by enforcement lawyer Radha Vaithianathar and assisted by paralegal officer, Rebecca Forman.

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Offshore companies fined after grandfather injured on North Sea gangway

Two offshore companies have been fined a combined total of more than £1.2m after an offshore worker's feet were crushed while walking along a gangway over the North Sea.

The Health and Safety Executive (HSE) prosecuted Shell and Ampelmann Operations following the incident off the Norfolk coast on 17 October 2017.

Martin Hill, a grandfather of eight from Norwich, says he now struggles to go

on walks and carry out simple DIY tasks as a result of his injuries.



The damaged boot Mr Hill had been wearing at the time of the incident

The then 63-year-old was part of a group of maintenance workers being transferred on the Kroonborg support vessel towards Shell's Galleon PG offshore gas rig when the incident happened. The transfer went ahead in conditions of high wind and heavy seas, when it should not have done.

Motion-compensated, or 'walk to work', gangways, are used to access offshore wind farms and rigs. They have a combined mechanical and computerised system to enable them to continue to provide a steady pathway for people transferring from ship to rig or turbine. The distance between the ship and the rig changes with the sea and vessel movement so any such gangway must telescope in and out to keep a full bridge.

As Mr Hill made his way along gangway from the support vessel towards the rig, he did so in the pre-sunrise gloom. Although there was some artificial lighting, there was not enough of it in the right places. Both of his feet got trapped as the gangway telescoped together. The serious nature of the injuries meant he had to be airlifted to hospital and he narrowly avoided having both of his feet amputated.



Mr Hill's trapped boot in the gangway

Now 68, Mr Hill said: "Both of my feet got stuck between the two sections of

the gangway and consequently my feet got very badly crushed. When they got the bridge off me I passed out and next thing I knew I was on the medical centre on the ship. Most of the bones in my feet were broken and most of the skin was pulled off. I have used magnetic therapy to help with my injuries which has been a big help.

"I am not 100% now, my feet will play up if I try and do DIY when there are steps or ladders involved, or if I go for a reasonable walk. I like to think it didn't affect me mentally but it did — I haven't returned to offshore work after the incident."

The HSE investigation found that people using the Ampelmann-designed and owned gangway were not sufficiently protected from the risks of entrapment and trip injury at the moving step. Ampelmann simply failed to take all reasonably practicable steps to reduce the risk of people's feet being trapped at the sliding step. Mr. Justice Jeremy Johnson said that, though some efforts were made, "There were some basic errors which persisted over a long time".

Mr. Justice Johnson said of Shell's instructions to the staff conducting transfers "were inconsistent and confusing and spread across several documents. They were not understood by those operating" the gangway transfer system. In addition, Shell also failed to ensure that lighting was in accordance with long-standing guidance available on the HSE website: https://www.hse.gov.uk/pubns/books/hsg38.htm. Mr Justice Johnson said in assessing Shell's culpability, "The problems were in place for a considerable time and were far from minor or isolated".

Shell U.K. Limited, of York Road, Lambeth, London, pleaded guilty to breaching Section 3(1) of The Health and Safety at Work etc. Act 1974. The company was fined £1,031,250 and ordered to pay £247,000 in costs at Chelmsford Crown Court on 14 December 2023.

Ampelmann Operations (UK), of Waterloo Quay, Aberdeen, pleaded guilty to breaching Section 3(1) of The Health and Safety at Work Act 1974. The company was fined £206,250 and ordered to pay £247,000 in costs at Chelmsford Crown Court on 14 December 2023.

HSE inspector John Hawkins said: "Offshore equipment, whether used in the course of hydrocarbon extraction, like at the gas rig in this case, or in harvesting renewable energy, such as at a wind turbine, requires maintenance, and maintenance requires reliable and safe access."

"Walk to work gangways have an important contribution to make towards providing reliable and safe access, but their design and operation must ensure workers are protected from the risk of needless entrapment and serious injury."

"The sentences passed reflect the importance of specialist companies making sure that all aspects of the equipment they design and deploy are in fact safe, rather than just assumed to be safe. It is important operating companies continually challenge themselves, through effective audit and review of their procedures, to make sure their safety management systems are robust enough and that the safety instructions generated are clear, consistent and in accordance with guidance.

"To have workers exposed to a risk of injury when required to do something as basic as walking to work over a gangway does not reflect the standards expected."

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Newport City Council fined £2million after death of much loved family man

Newport City Council has been fined £2million after "a hardworking man who loved his family very much" was killed while carrying out road repair works.

Stephen Bell was barrowing tarmac from the back of the local authority's tipper lorry when he was struck by a farm vehicle passing the road works.

The 57-year-old's wife Jenny said how the events of 18 July 2019 had changed their family's life forever.



Stephen Bell was a much loved husband, father and grandfather

"I do not have the words to express the pain my family and I felt when we heard the news and losing him so suddenly has taken its toll on us all and has left us all heart broken," she said.

"Stephen was a kind, caring and a hardworking man who loved his family very much. He had a smile that lit up a room, an amazing sense of humour and laughter that was contagious.

"In the years since he's been gone my family and I have missed so much.

"I have been unable to share my grandchildren's birthdays with him. Christmas days without him and family holidays with a very special person missing.

"Recently, our youngest daughter got married, and didn't have her father to walk her down the aisle."

Cardiff Crown Court heard how Mr Bell and his colleagues had been carrying out resurfacing repairs on the section of Langstone Court Road that runs beneath the M4 motorway. At the time of the incident a Newport City Council team leader and the four highway operatives were working on foot and authorised to be on the site.

An investigation by the Health and Safety Executive (HSE) found that the council did not take all reasonably practicable steps to organise a safe working environment by ensuring there was a suitable and sufficient safety zone between the road works area and the running lane (the live part of the carriageway), as well as securing the perimeter of the road works site against road workers entering the running lane.



Stephen Bell was working for Newport City Council when he was killed

Mrs Bell added: "Since losing Stephen, my daughters have been a pillar of strength to me and I wouldn't have made it through these dark days without them.

"I would also like to take this opportunity to thank our family and friends for their continued support.

"Nothing will bring my husband back and no matter what justice takes place, it will never be enough to compensate my family and I for what we have all lost.

"I just want to make sure nothing like this ever happens again so that no other families experience what we have experienced.

"I would like to close by taking this opportunity to thank HSE for their thorough investigation and for their support over the last four and half years."

Newport City Council, Civic Centre, Godfrey Road, Newport, pleaded guilty to breaching Section 2(1) and 3(1) of the Health and Safety at Work etc Act 1974 and has been fined £2million and ordered to pay costs of £9,780.

Speaking after the hearing, HSE inspector Philip Nicolle said: "The council's failures have had a devastating impact on Stephen Bell's family.

"This tragic incident could so easily have been avoided if the council had simply carried out correct control measures and safe working practices.

"We will not hesitate to take action against those that fall below the required standards.

"Our thoughts remain with Mrs Bell and those who knew and loved Stephen."

This prosecution was led by HSE enforcement lawyer Matt Reynolds.

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