

Process Review Panel for the SFC publishes annual report

The Process Review Panel (PRP) for the Securities and Futures Commission (SFC) today (January 16) published its annual report covering the work of the PRP in 2018-19.

The PRP Chairman, Mr Lawrence Lee, said, "In 2018-19, the PRP reviewed 60 completed or closed cases under different areas of the work of the SFC. The PRP made a number of observations and recommendations to the SFC for its consideration, which would be conducive to the SFC's enhancement of procedures and guidelines."

He added, "We are thankful to the SFC for its co-operation and support in facilitating the work of the PRP, as well as its positive response to our recommendations."

The PRP welcomes views from market participants and the public on the work of the PRP. They may send their views to the PRP by email at prp@fstb.gov.hk.

The annual report has been uploaded to the website of the Financial Services and the Treasury Bureau (www.fstb.gov.hk/fsb/topical/afsreport.htm).