

Main topics and media events 2 – 15 April 2018

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ESMA publishes official translations for three sets of Guidelines under CSDR

Guidelines on the process to determine the most relevant currencies in which settlement takes place

Guidelines on the process to determine the substantial importance of a CSD for a host Member State

These Guidelines aim at establishing the process for the collection, processing and aggregation of the data and information necessary for the calculation of the indicators to determine:

- the most relevant currencies in which settlement takes place, and
- the substantial importance of a CSD for a host Member State,

in order to ensure all concerned authorities are involved in the authorisation procedure and supervision of each CSD.

National Competent Authorities (NCAs) to which these Guidelines apply must

notify ESMA whether they comply or intend to comply with the Guidelines, within two weeks of the date of publication by ESMA of the Guidelines in all EU official languages.

Guidelines on cooperation between authorities

The purpose of the guidelines is to ensure consistent, efficient and effective supervisory practices within the EU in respect of cooperation arrangements between supervisory authorities for:

- the consultation of authorities involved in the procedure for authorising CSDs under the CSDR; and
- the communication between the home and host authorities in relation to a CSD wishing to provide cross-border services.

NCAs to which these Guidelines apply must notify ESMA whether they comply or intend to comply with the Guidelines, within two months of the date of publication by ESMA of the Guidelines in all EU official languages.

ESMA finalises guidelines on how to report internalised settlement

Entities such as investment firms and credit institutions, who are providing custody/ safekeeping services in relation to financial instruments recorded in a CSD, can settle transactions in those financial instruments outside the securities settlement systems operated by CSDs, but need to report them to national competent authorities (NCAs), starting on 12 July 2019.

ESMA's guidelines clarify the scope and process of internalised settlement reporting as well as the exchange of information between ESMA and NCAs, who will receive the data from reporting entities.

The guidelines will apply from the date of their publication on ESMA's website in all official languages of the EU. Within two months of the publication, NCAs will have to inform ESMA whether or not they intend to comply with these guidelines.

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News story: Resistant gonorrhoea case reminds importance of safe sex

Dr Gwenda Hughes, Consultant Scientist and Head of Sexually Transmitted Infection (STI) Section at Public Health England (PHE), said:

We are investigating a case who has gonorrhoea which was acquired abroad and is very resistant to the recommended first line treatment. First line treatment for gonorrhoea is a combination of 2 antibiotics (azithromycin and ceftriaxone). This is the first time a case has displayed such high-level resistance to both of these drugs and to most other commonly used antibiotics.

We are following up this case to ensure that the infection was effectively treated with other options and the risk of any onward transmission is minimised. PHE actively monitors, and acts on, the spread of antibiotic resistance in gonorrhoea and potential treatment failures, and has introduced enhanced surveillance to identify and manage resistant strains of infection promptly to help reduce further spread.

It is better to avoid getting or passing on gonorrhoea in the first place and everyone can significantly reduce their risk by using condoms consistently and correctly with all new and casual partners. Anyone who thinks they may have been at risk should seek an STI screen at a sexual health clinic.

Read the full [Multi-drug resistant gonorrhoea in England: 2018 report](#).